

Practitioner's Docket No. NEB-180

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: William E. Jack

Application No.: 09 / 738,444

Filed: December 15, 2000

For: Use of Site-Specific

Group No.:

1634

Examiner:

Frank Wei Min Lu

Nicking Endonucleases To Create  
Single-Stranded Regions And Applications

Batch No.

**Box Issue Fees**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. § 1.311)**

**NOTE:** Submission of a Transmittal of Payment of Issue Fee after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

**1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.**

**NOTE:** 37 C.F.R. § 1.27(g): "(1) New determination of entitlement to small entity status is needed when issue and maintenance fees are due. Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due.

(2) Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate."

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is **mandatory**;  
 Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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**37 C.F.R. § 1.8(a)****37 C.F.R. § 1.10 \***

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**TRANSMISSION**

facsimile transmitted to the Patent and Trademark Office. (708)

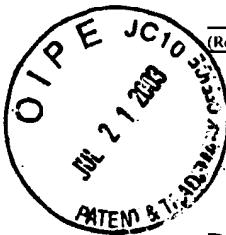
Date: 7.18.03

Signature

Melissa A. Jackson

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Practitioner's Docket No. NEB-180**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jack  
Application No.: 0 9 /738,444 Group No.: 1634  
Filed: December 15, 2000 Examiner: Frank Wei Min Lu  
For: Use of Site-Specific Nicking Endonucleases To Create Single-Stranded  
Regions and Applications  
**Box Issue Fee**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**PAYMENT OF PUBLICATION FEE (37 C.F.R. § 1.211(e))**

**NOTE:** 37 C.F.R. § 1.211: "(e) The publication fee set forth in § 1.18(d) must be paid in each application published under this section before the patent will be granted. If an application is subject to publication under this section, the sum specified in the notice of allowance under § 1.311 will also include the publication fee which must be paid within three months from the date of mailing of the notice of allowance to avoid abandonment of the application. This three-month period is not extendable. If the application is not published under this section, the publication fee (if paid) will be refunded."

1. Applicant hereby pays the publication fee for this application.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)  as "Express Mail Post Office to Addressee"  
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**TRANSMISSION**

facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 7.18.03

Signature

Melissa A. Jackson

(type or print name of person certifying)

(complete the following, as applicable)

2.  Applicant

A. Asserted small entity status in this application on 12/15/00 (Date) by  
(complete the following, as applicable)

payment of the basic  filing  national fee as a small entity (37 C.F.R. § 1.27(c)(3))  
 submission of a written assertion of small entity status (37 C.F.R. § 1.27(c)(1))

**WARNING:** "Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).

(complete the following, as applicable)

Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.  
 A "NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY" signed by an appropriate party as required by 37 C.F.R. § 1.27(g)(2) and § 1.33(b) is attached.  
 It is confirmed that small entity status for this application has been checked and it is still in effect and is being asserted.

B. Applicant hereby asserts small entity status for this application.

An "ASSERTION OF SMALL ENTITY STATUS" signed by an appropriate party as required by 37 C.F.R. § 1.27(c)(2) is attached.

3. Fee (37 C.F.R. § 1.18(a) and (b)):

Application status is:

Regular

Design

small business entity—fee  \$650.00  \$235.00  
 other than a small entity—fee  \$1,300.00  \$470.00

(\$650 for issue fee;

Attached is a  check  money order in the amount of \$ 980 (\$300 publication fee;  
 Authorization is hereby made to charge the amount of \$                    (\$30 for 10 adv. copies)

to Deposit Account No. \_\_\_\_\_  
 to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

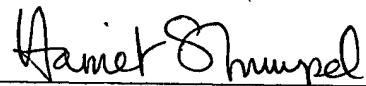
A duplicate of this paper is attached.

(Transmittal of Payment of Issue Fee (37 C.F.R. § 1.311) [9-10]—page 2 of 3)

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**SIGNATURE OF PRACTITIONER**

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